

Form PTO-1449

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.  
MS1-304USSERIAL NO.  
09/396,984LIST OF ART CITED BY APPLICANT  
(Use several sheets if necessary)

NOV 21 2000

APPLICANT  
Microsoft CorporationFILING DATE  
9/15/99GROUP  
2776

U.S. PATENT DOCUMENTS

*Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate
<i>[Handwritten Initials]</i>	A	5,333,266	06/26/94	Boaz et al.	395	200	
<i>[Handwritten Initials]</i>	B	5,524,193	06/04/96	Covington et al.	395	154	
<i>[Handwritten Initials]</i>	C	5,600,775	02/04/97	King et al.	395	806	
<i>[Handwritten Initials]</i>	D	5,633,916	05/27/97	Goldhagen et al.	379	67	
<i>[Handwritten Initials]</i>	E	5,699,089	12/16/97	Murray	345	146	
<i>[Handwritten Initials]</i>	F	5,732,216	03/24/98	Logan et al.	395	200.33	
<i>[Handwritten Initials]</i>	G	5,838,313	11/17/98	Hou et al.	345	302	
<i>[Handwritten Initials]</i>	H	5,893,087	04/06/99	Wlaschin et al.	707	3	
<i>[Handwritten Initials]</i>	I	5,923,848	07/13/99	Goodhand et al.	395	200.49	
<i>[Handwritten Initials]</i>	J	5,991,365	11/23/99	Pizano et al.	379	88.13	
<i>[Handwritten Initials]</i>	K	6,081,829	06/27/00	Sidana	709	203	

## FOREIGN PATENT DOCUMENTS

		Document Number	Date	Country	Class	Subclass	Translation	
							Yes	No
<i>[Handwritten Initials]</i>		O 650 126 A1	04/26/95	EP				
<i>[Handwritten Initials]</i>		WO 97/49251	12/24/97	PCT				
<i>[Handwritten Initials]</i>		2 301 260 A	11/27/96	GB				

## OTHER PRIOR ART (including Author, Title, Date, Pertinent Pages, Etc.)

<i>[Handwritten Initials]</i>	E	Abowd et al., "Teaching and Learning as Multimedia Authoring: The Classroom 2000 Project", Proceedings of the Multimedia '96, Boston, MA, USA, Nov 1996, ACM Press, pp. 187-198
<i>[Handwritten Initials]</i>	F	Bessler et al., "DIANE: A Multimedia Annotation System, Proceedings of the ECMASST '97, Milan, Italy, May 1997
<i>[Handwritten Initials]</i>	G	Carrer et al., "An Annotation Engine for Supporting Video Database Population", Multimedia Tools and Applications 5, 1997, Kluwer Academic Publishers, pp. 233-258
<i>[Handwritten Initials]</i>	H	Chalfonte et al., "Expressive Richness: A Comparison of Speech and Text as Media for Revision", Proceedings of the CHI' 91, 1991, ACM Press, pp. 21-26
<i>[Handwritten Initials]</i>	I	Davis et al., "CoNote System Overview, 1995, Available at <a href="http://www.cs.cornell.edu/home/dph/annotation/annotations.html">http://www.cs.cornell.edu/home/dph/annotation/annotations.html</a>
<i>[Handwritten Initials]</i>	J	Gaines et al., "Open Architecture Multimedia Documents", Proceedings of the Multimedia '93, Anaheim, CA, Aug 1993, ACM Press, pp. 137-46

EXAMINER

DATE CONSIDERED

10/5/2002

\*EXAMINER: Initial if reference considered whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

RECEIVED  
NOV 30 2000  
Technology Center 2100

Form PTO-1449

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.  
MS1-304USSERIAL NO.  
09/396,984LIST OF ART CITED BY APPLICANT  
(Use several sheets if necessary)APPLICANT  
Microsoft CorporationFILING DATE  
9/15/99GROUP  
2776RECEIVED  
NOV 30 2000  
Technology Center 2180

## U.S. PATENT DOCUMENTS

*Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate
	A						
	B						
	C						
	D						
	E						
	F						
	G						
	H						
	I						
	J						
	K						

## FOREIGN PATENT DOCUMENTS

		Document Number	Date	Country	Class	Subclass	Translation	
							Yes	No

## OTHER PRIOR ART (including Author, Title, Date, Pertinent Pages, Etc.)

E		Gould et al., "Relativity Controller: Reflecting User Perspective in Document Spaces," Adjunct Proceedings of INTERCHI '93, 1993, ACM Press, pp. 125-126
F		Kim et al., "VIRON: An Annotation-Based Video Information Retrieval System," Proceedings of COMPSAC '96, Seoul, South Korea, Aug 1996, IEEE Press, pp. 298-303
G		Laliberte et al., "A Protocol for Scalable Group and Public Annotations", 1997 NCA Technical Proposal, available at <a href="http://union.ncsa.uiuc.edu/~liberte/www/scalable-annotations.html">http://union.ncsa.uiuc.edu/~liberte/www/scalable-annotations.html</a>
H		Lawton et al., "The Knowledge Weasel Hypermedia Annotation System", Proceedings of the HyperText '93, Nov 1993, ACM Press, pp. 106-117
I		Lee et al., "Video Indexing - An Approach Based on Moving Object and Track", Proceedings of the SPIE, vol. 1908, 1993, pp. 25-36
J		Marshall, "Toward and Ecology of Hypertext Annotations", Proceedings of the HyperText, Pittsburgh, PA, June 1998, ACM Press, pp. 40-48

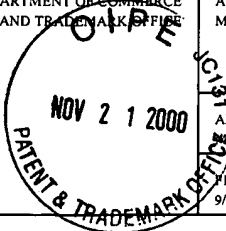
EXAMINER

DATE CONSIDERED

12/5/02

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Form PTO-1449

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.  
MS1-304USSERIAL NO.  
09/396,984LIST OF ART CITED BY APPLICANT  
(Use several sheets if necessary)APPLICANT  
Microsoft CorporationFILING DATE  
9/15/99GROUP  
2776

RECEIVED  
NOV 30 2000  
Technology Center 2100

## U.S. PATENT DOCUMENTS

*Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate
	A						
	B						
	C						
	D						
	E						
	F						
	G						
	H						
	I						
	J						
	K						

## FOREIGN PATENT DOCUMENTS

		Document Number	Date	Country	Class	Subclass	Translation	
							Yes	No

## OTHER PRIOR ART (including Author, Title, Date, Pertinent Pages, Etc.)

	E		Neuwirth et al., "Distributed Collaborative Writing: A Comparison of Spoken and Written Modalities for Reviewing and Revising Documents", Proceedings of the CHI '94, Boston, MA, April 1994, ACM Press, pp. 51-57
	F		Phelps et al., "Multivalent Annotations, Proceedings of the First European Conference on Research and Advanced Technology for Digital Libraries", Pisa, Italy, Sept 1997
	G		Roscheisen et al., "Shared Web Annotations as a Platform for Third-Party Value-Added, Information Providers: Architecture, Protocols, and Usage Examples", Technical Report CSDTR/DLTR 1997, Stanford University, Available at <a href="http://www.diglib.stanford.edu/mtr/TR/TR.html">http://www.diglib.stanford.edu/mtr/TR/TR.html</a>
	H		Schickler et al., "Pan-Browser Support for Annotations and Other Meta Information on the World Wide Web", Proceedings of the Fifth International World Wide Web Conference, Paris, France, May 1996, available at <a href="http://www5conf.inria.fr/fich_html/papers/p15/Overview.html">http://www5conf.inria.fr/fich_html/papers/p15/Overview.html</a>
	I		Smith et al., "What Should a Wildebeest Say? Interactive Nature Films for High School Classrooms", Proceedings of the ACM Multimedia '97, Seattle, WA, Nov 1997, ACM Press, pp. 193-201
	J		Smith, M., "DynaText: An Electronic Publishing System", Computers and the Humanities 27, 1993, pp. 415-420

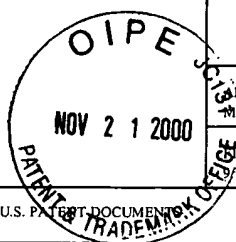
EXAMINER

DATE CONSIDERED

11/5/02

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Form PTO-1449

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.  
MSI-304USSERIAL NO.  
09/396,984LIST OF ART CITED BY APPLICANT  
(Use several sheets if necessary)APPLICANT  
Microsoft CorporationFILING DATE  
09/15/99GROUP  
2776

RECEIVED  
NOV 30 2000  
Technology Center 2100

U.S. PATENT DOCUMENTS

*Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate
	A						
	B						
	C						
	D						
	E						
	F						
	G						
	H						
	I						
	J						
	K						

## FOREIGN PATENT DOCUMENTS

		Document Number	Date	Country	Class	Subclass	Translation	
							Yes	No

## OTHER PRIOR ART (including Author, Title, Date, Pertinent Pages, Etc.)

	E		Stanford Online: Masters in Electrical Engineering, <a href="http://scpd.stanford.edu/cee/telecom/onlinedegree.html">http://scpd.stanford.edu/cee/telecom/onlinedegree.html</a>
	F		Weber et al., "Marquee: A Tool for Real-Time Video Logging", Proceedings of CHI '94, Boston MA, April 1994, ACM Press, pp. 58-64
	G		Wei, S.X., "MediaWeaver - A Distributed Media Authoring System for Networked Scholarly Workspaces", Multimedia Tools and Applications 6, 1998, Kluwer Academic Publishers, pp. 97-111
	H		Roscheisen et al., "Beyond Browsing: Shared Comments, SOAPS, Trails, and On-Line Communities", Computer Networks and ISDN Systems 37, 1995, pp. 739-749
	I		
	J		

EXAMINER

DATE CONSIDERED

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

+

+

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE  
to a collection of information unless it contains a valid OMB control number.

~~Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.~~

**Complete if Known**

<b>Application Number</b>	09/396,984
<b>Filing Date</b>	Sep 15, 1999
<b>First Named Inventor</b>	Gupta
Group Art Unit	
Examiner Name	
Attorney Docket Number	MS1-304US

(use as many sheets as necessary)

Sheet	1	of	2	Attorney Docket Number	MS1-304US
-------	---	----	---	------------------------	-----------

[illegible]

RECEIVED

~~JAN 29 2002~~

~~Technology Center 2100~~

[illegible]Date  
Considered

10/4/02

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

**Burden Hour Statement:** This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U. S. Patent and Trademark Office, Washington, DC 20231. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS--SEND TO:** Assistant Commissioner for Patents, Washington, DC 20231.

+

Approved for use through 10/31/2002, OMB 0651-0031

U. S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE  
is a collection of information unless it contains a valid OMB control number.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449B/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  <i>(use as many sheets as necessary)</i>				<b>Complete if Known</b>	
				Application Number	09/396,984
				Filing Date	Sep 15, 1999
				First Named Inventor	
				Group Art Unit	
				Examiner Name	
Sheet	2	of	2	Attorney Docket Number	MS1-304US

O I P E  
 JAN 25 2002  
 PATENT & TRADE MARK OFFICE

[illegible]

Examiner Signature		Date Considered	
-----------------------	--	--------------------	--

<sup>1</sup> Unique citation designation number. <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

**Burden Hour Statement:** This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U. S. Patent and Trademark Office, Washington, DC 20231. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO:** Assistant Commissioner for Patents, Washington, DC 20231.



